


FORM PTO-1449	Atty. Docket No.: C34.12-0058	Appl. No.: 10/644,650
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT 	First Named Inventor:	
	Timothy A. Skunes	
	Filing Date	Group Art:
	August 20, 2003	2874

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
OR	AA	4,250,520	2/10/81	Denlinger	357	68
	AB	4,457,467	7/3/84	Klement et al.	228	103
	AC	4,708,429	11/24/87	Clark et al.	350	96.20
	AD	4,720,163	1/19/88	Goodwin et al.	350	96.20
	AE	4,750,799	6/14/88	Kawachi et al.	350	96.11
	AF	4,752,816	6/21/88	Sussman et al.	357	30
	AG	4,798,439	1/17/89	Preston	350	96.20
	AH	4,892,377	1/9/90	Randle	350	96.2
	AI	4,954,458	9/4/90	Reid	437	51
	AJ	4,989,934	2/5/91	Zavracky et al.	350	96.11
	AK	5,113,404	5/12/92	Gaebe et al.	372	36
	AL	5,123,074	6/16/92	Yokota et al.	385	95
	AM	5,177,807	1/5/93	Avelange et al.	385	91
	AN	5,210,811	5/11/93	Avelange et al.	385	91
	AO	5,216,729	6/1/93	Berger et al.	385	31
	AP	5,247,597	9/21/93	Blacha et al.	385	88
✓	AQ	5,249,733	10/5/93	Brady et al.	228	180.22

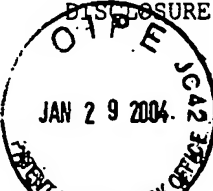
## FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Sub Class	Translation Yes No
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AS	
EXAMINER: <i>[Signature]</i>	DATE CONSIDERED: 4/14/05

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
en	AT 5,283,446	2/1/94	Tanisawa	357	433	
	AU 5,450,508	9/12/95	Decusatis et al.	385	25	
	AV 5,478,778	12/26/95	Tanisawa	437	183	
	AW 5,499,312	3/12/96	Hahn et al.	385	91	
	AX 5,535,296	7/9/96	Uchida	385	89	
	AY 5,550,088	8/27/96	Dautartas et al.	437	225	
	AZ 5,555,333	9/10/96	Kato	385	89	
	BA 5,559,914	9/24/96	Asakura	385	49	
	BB 5,568,892	10/29/96	Basavanhally	228	180.22	
	BC 5,570,184	10/29/96	Armington et al.	356	375	
	BD 5,574,561	11/12/96	Boudreau et al.	356	399	
	BE 5,603,870	2/18/97	Roll et al.	264	1.25	
	BF 5,647,044	7/8/97	Basavanhally et al.	385	92	
	BG 5,659,641	8/19/97	DeMeritt et al.	385	14	
	BH 5,687,267	11/11/97	Uchida	385	89	
	BI 5,700,987	12/23/97	Basavanhally	219	56.1	
✓	BJ 5,721,797	2/24/98	Basavanhally et al.	385	49	


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BL	
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## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
OM	BM	5,745,624	4/28/98	Chan et al.	385	91
	BN	5,748,822	5/5/98	Miura et al.	385	90
	BO	5,748,827	5/5/98	Holl et al.	385	134
	BP	5,778,120	7/7/98	Asakura et al.	385	49
	BQ	5,833,202	11/10/98	Wolfgang	248	466
	BR	5,854,867	12/29/98	Lee et al.	385	49
	BS	5,859,943	1/12/99	Asakura et al.	385	49
	BT	5,883,988	3/16/99	Yamamoto et al.	385	14
	BU	5,892,179	4/6/99	Rinne et al.	174	261
	BV	5,985,086	11/16/99	Peall	156	292
	BW	5,898,806	4/27/99	Nishimoto	385	49
	BX	5,907,649	5/25/99	Acklin et al.	385	49
	BY	5,916,458	6/29/99	Komoriya et al.	219	121.63
	BZ	5,966,486	10/12/99	Boudreau et al.	385	90
	CA	5,970,200	10/19/99	Takikawa et al.	385	137
	CB	5,985,086	11/16/99	Peall	156	292
	CC	6,027,253	2/22/00	Ota et al.	385	83


## FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Sub Class	Translation Yes No
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CE	EXAMINER: <i>Car Roja</i>	DATE CONSIDERED: <i>4/14/05</i>
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## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
on	CF	6,027,254	2/22/00	Yamada et al.	385	88
	CG	6,058,234	5/2/00	Tachigori	385	49
	CH	6,106,161	8/22/00	Basavanhally et al.	385	88
	CI	6,112,001	8/29/00	Kishida et al.	385	49
	CJ	6,115,515	9/5/00	Itoh et al.	385	14
	CK	6,118,917	9/12/00	Lee et al.	385	49
	CL	6,130,448	10/10/00	Bauer et al.	257	222
	CM	6,132,106	10/17/00	Yokoyama	385	74
	CN	6,157,050	12/5/00	Fukuoka	257	82
	CO	6,160,936	12/12/00	You et al.	385	49
	CP	6,164,837	12/26/00	Haake et al.	385	90
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## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
CW	0 840 154 A1	5/6/98	EPO			X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CX	EXAMINER: <i>[Signature]</i>	DATE CONSIDERED: 4/14/05
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CY						
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## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
<i>OK</i>	DA	0 977 061 A2	2/2/00	EPO			X
	DB	2 779 536	12/10/99	France			X
	DC	54-12286	1/29/79	Japan			X
	DD	63-193113	8/10/88	Japan			(Abstract)
	DE	61-277908	12/8/96	Japan			(Abstract)
	DF	62-276890	12/1/87	Japan			(Abstract)
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	DH	63-65411	3/24/88	Japan			(Abstract)
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	DJ	63-228113	9/22/88	Japan			(Abstract)
	DK	1-302214	12/6/89	Japan			(Abstract)
	DL	6-34852	2/10/94	Japan			(Abstract)
	DM	6-174943	6/24/94	Japan			(Abstract)
	DN	6-201921	7/22/94	Japan			(Abstract)
	DO	6-331840	12/2/94	Japan			(Abstract)
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EXAMINER: <i>OK</i>	DATE CONSIDERED: <i>4/14/05</i>

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DR						
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DU						
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## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
on	DX	196 44 758 A1	4/30/98	Germany			X

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

on	DY	"Micro-Mechanical Alignment Structures (patent pending)", from Web Site of Axsun Technologies, 2 pages, downloaded 2/15/01.
1	DZ	"An Innovative Flexible and Accurate Packaging Technique Suited to Fabricate Low Cost Micro Optoelectronic Modules", by M. Scussat et al., <u>Electronic Components and Technology Conference</u> , 2000, pgs. 26-32.
	EA	Article from Web Site entitled "The Photonics Program and the Engineering Research Division at LLNL are Automating the Packaging of Optoelectronic Devices to Lower Costs", 3 pages, downloaded 10/19/00.
	EB	Article from Web Site entitled "Automated Optoelectronic Packaging", 2 pages, downloaded 2/5/01.
✓	EC	Article from Web Site entitled "Micro Assembly Automation", 3 pages., downloaded 2/5/01.
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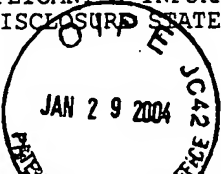
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## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
on	EE 4,730,198	3/8/88	Brown et al.	350	96.20	
	EF 4,790,618	12/13/88	Abe	350	96.15	
	EG 4,817,849	4/4/89	Yamamoto et al.	228	103	
	EH 4,845,052	7/4/89	Abend	437	209	
	EI 4,909,590	3/20/90	Kamiko et al.	350	96.22	
	EJ 5,093,879	3/3/92	Bregman et al.	385	93	
	EK 5,170,455	12/8/92	Gooseen et al.	385	89	
	EL 5,215,489	6/1/93	Nakamura	445	4	
	EM 5,322,463	6/21/94	Young	445	4	
	EN 5,371,822	12/6/94	Horwitz et al.	385	89	
	EO 5,625,733	4/29/97	Frigo et al.	385	88	
	EP 5,659,641	8/19/97	DeMeritt et al.	385	14	
	EQ 5,737,467	4/7/98	Kato et al.	385	92	
	ER 5,916,458	6/29/99	Komoriya et al.	219	121.63	
	ES 6,010,251	1/4/00	Koyanagi et al.	385	93	
	ET 5,644,668	7/1/97	Chambers et al.	385	90	
	EU 5,671,315	9/23/97	Tabuchi et al.	385	137	
	EV 5,700,987	12/23/97	Basavanhally	219	56.1	
✓	EW 6,106,161	8/22/00	Basavanhally et al.	385	88	

## FOREIGN PATENT DOCUMENTS

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	August 20, 2003	2874



## S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
on	EZ	6,222,579	4/24/01	Sousa	347	241
	FA	6,327,407	12/4/01	Mitsuda et al.	385	49
	FB	2001/0010743	8/2/01	Cayrefoureq et al.	385	88
	FC	6,074,103	6/13/00	Hargreaves et al.	385	90
	FD	6,148,511	11/21/00	Taguchi	29	834
	FE	5,894,657	4/20/99	Kanayama et al.	29	740
	FF	4,854,667	8/8/89	Ebata et al.	350	96.20
	FG	5,383,118	1/17/95	Nguyen	364	167.01
	FH	5,677,973	10/14/97	Yuhara et al.	385	90
	FI	4,752,109	6/21/88	Gordon et al.	350	96.20
	FJ	4,807,956	2/28/89	Tournereau et al.	350	96.20
	FK	5,416,872	5/16/95	Sizer, II et al.	385	92
	FL	5,435,734	7/25/95	Chow	439	69
	FM	5,872,880	2/16/99	Maynard	385	88
	FN	6,186,674	2/13/01	Basavanhally	385	88
	FO	6,318,906	11/20/01	Ishizaka	385	88
	FP	6,392,778	5/21/02	Perduijn et al.	359	245
	FQ	6,396,854	5/28/02	Takagi	372	20
	FR	5,093,984	3/10/92	Lape	29	741
U	FS	5,977,567	11/2/99	Verdiell	257	99

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on	GA 5,023,881	6/11/91	Ackerman et al.	372	46	
	GB 5,906,753	5/25/99	Aoyagi et al.	216	24	
	GC 6,467,972	10/22/02	Setoguchi	385	88	
	GD 6,470,120	10/22/02	Green et al.	385	52	
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	GG					

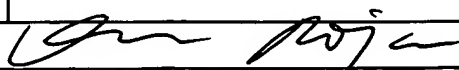
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on	GH 0 600 645 A1	6/8/94	EPO			X
on	GI 0 903 600 A1	3/24/99	EPO			X

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

on	GJ	"Notification of Transmittal of the International Search Report or Declaration" PCT/US02/05412.
	GK	"Notification of Transmittal of the International Search Report or Declaration" PCT/US02/05268.
	GL	"Notification of Transmittal of the International Search Report or Declaration" PCT/US02/05497.
	GM	"Notification of Transmittal of the International Search Report or Declaration" PCT/US02/05498.
	GN	"3.5 Gb/s x 4 ch Optical Interconnection Module for ATM Switching System" by N. Tanaka et al., <u>Electronic Components and Technology Conference</u> , pgs. 210-216, 1997.
	GO	"Advanced Flip Chip Bonding Techniques Using Transferred Microsolder Bumps", by N. Koshoubu et al., <u>Electronic Components and Technology Conference</u> , pgs. 272-277, 1999.
	GP	"Hybrid Integrated 4x4 Optical Matrix Switch Using Self-Aligned Semiconductor Optical Amplifier Gate Arrays and Silica Planar Lightwave Circuit", <u>Electronics Letters</u> , Vol. 34, No. 10, pgs. 986-987, May 14, 1998.
	GQ	

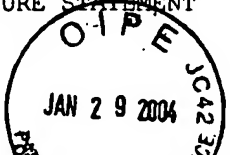
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OR	GR 3,407,018	10/68	J.V. Miller	350	321	
	GS 6,320,705	11/01	Dube'	359	796	
	GT US2003/0037141	03/02	Miyamoto et al.			
	GU 4,180,307	12/1979	Tateoka et al.	350	6.5	
	GV 2002/0037141	3/2002	Miyamoto et al.	385	88	
	GW 4,989,940	2/1991	Wollenweber et al.	350	96.18	
	GX 5,351,330	9/1994	Jongweaard	385	93	
	GY 5,570,444	10/1996	Janssen et al.	385	90	
	GZ 5,619,609	4/1997	Pan et al.	385	136	
	HA 6,087,621	7/2000	Kang et al.	219	121.64	
	HB 6,174,092	1/2001	Siala	385	91	
✓	HC 6,184,987	2/2001	Jang et al.	356	388	

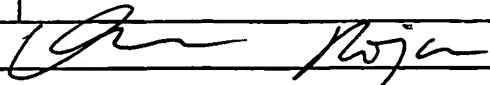
## FOREIGN PATENT DOCUMENTS

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	HF	0 821 218 A2	1/28/98	EPO			X
	HG	0 903 608 A2	3/24/99	EPO			X
✓	HH	0 921 702 A2	6/9/99	EPO			X

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	HI	Search Report PCT/US03/12252.
OR	HJ	"Notification of Transmittal of the International Search Report or the Declaration" PCT/US03/12252.
	HK	
	HL	

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## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
OR	AA	3,800,388	4/1974	Borner et al.	29	200
JN	AB	5,195,707	3/1993	Ignatuk et al.	248	179
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	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
JN	AL	0 325 431	7/1989	EPO		X
JN	AM	23 58 785	6/1975	Germany		X
	AN					

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

OR	AO	"Novel Fiber Alignment Method Using a Partially Metal-Coated Fiber in a Silicon V-Groove", by S. Kaneko et al., <u>IEEE PHOTONICS TECHNOLOGY LETTERS</u> , Vol 12, No. 6, June 2000, pgs. 645-647.
JN	AP	"Notification of Transmittal of the International Search Report or the Declaration" PCT/US03/26006.
	AQ	

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Sheet 1 of 1

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Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
On	AA	5,296,072	03/22/94	Dannoux et al.	156	257	11/05/1992
↓	AB	4,737,845	04/12/88	Susuki et al.	358	101	08/15/1986
	AC	4,979,970	12/25/90	Dannoux et al.	65	2	02/12/1990
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## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
<i>On</i>	AR EP 0544024 A1	06-1993	Europe			
<i>On</i>	AS EP 0907092 A1	04-1999	Europe			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AT	EXAMINER: <i>On Roja</i>	DATE CONSIDERED: <i>4/14/05</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

Atty. Docket No.:  
C34.12-0058Appl. No.:  
10/644,650LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

First Named Inventor:

Timothy A. Skunes

Filing Date

Group Art:

August 20, 2003

2874



## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
Jh	AA 2002/0037142	3/2002	Rossi	385	92	
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Jh	AT	"Written Opinion" from PCT/US03/26006
EXAMINER:	<i>[Signature]</i>	DATE CONSIDERED: 4/14/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	Atty. Docket No.: C34.12-0058	Appl. No.: 10/644,650
<p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> 	First Named Inventor:	
	Timothy A. Skunes	
	Filing Date	Group Art:
	August 20, 2003	2874

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## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AR						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AS	
EXAMINER: <i>Don Raja</i>	DATE CONSIDERED: 4/14/05

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